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# Scattering structure of linearized Vlasov-Poisson equations (and more)

B. Després (LJLL-SU)  
thanks to F. Charles, R. Weder, A. Rege, C. Buet and V. Fournet

# Analogies between linear Vlasov-Poisson and Scattering theory

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

P. Degond : Spectral theory of the linearized Vlasov-Poisson equation. *Trans. Amer. Math. Soc.* 294 (1986)

Expansion (41) was the aim of this paper. However, expansion (40) presents interesting analogies with Lax and Phillips' scattering theory, which we detail in the conclusion.

3.4. *Comparison between Vlasov's equation and scattering theory.* One of the aims of the scattering theory [2] is to obtain an asymptotic behaviour for the wave equation outside a bounded obstacle. Although the total energy of the solution remains constant, the dispersion towards infinity leads to a local decay of the  $L^\infty$  norm of the solution. This decay is well expressed by expansion (6).

# Vlasov-Poisson equations

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

- 1D-1V non linear Vlasov-Poisson equations for electrons, with given ion density

$$\begin{cases} \partial_t f + v \partial_x f - E \partial_v f = 0, & t > 0, x \in \mathbb{T}, v \in \mathbb{R}, \\ \partial_x E = \rho_{\text{ions}}(x) - \int_{\mathbb{R}} f dv, & t > 0, x \in \mathbb{T}. \end{cases}$$

with unknowns  $f(t, x, v) \geq 0$  and  $E(t, x) = -\partial_x \varphi(t, x)$ .

Note that  $f$  is constant along the characteristics

$$\dot{x} = v \text{ and } \dot{v} = -E \implies \dot{f} = 0.$$

- Non homogeneous stationary states ( $\not\subset$  Degond 86' or Mouhot-Villani 11') + linearization

$$\begin{cases} f_0(x, v) = n_0(x) e^{-\frac{v^2}{2}}, & n_0(x) = e^{\varphi_0(x)}, & (\text{BGK/Sagdeev/...}), \\ E_0(x) = -\varphi_0'(x), & -\varphi_0''(x) + 2\pi e^{\varphi_0(x)} = \rho_{\text{ions}}(x), \end{cases}$$

# Characteristics 1D-1V

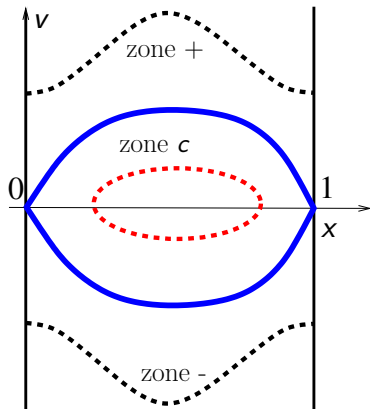
From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

- Free transport :  $v\partial_x + \varphi'_0(x)\partial_v$
- Characteristic lines :  
 $x' = v$  and  $v' = \varphi'_0(x)$
- Invariants :  $\frac{v^2}{2} - \varphi_0(x) = H.$

Here  $\varphi_0$  is **one bump**,  
increases from 0 to  $x^*$ , decreases  
from  $x^*$  to 1,  
and by convention  
 $\varphi_0 \geq 0 = \varphi_0(0) = \varphi_0(1).$



The central zone of trapped particles : electron hole.

- Linearize around this family of stationary solutions

$$\begin{cases} f(t, x, v) = f_0(x, v) + \varepsilon \sqrt{f_0(x, v)} u(t, x, v) + O(\varepsilon^2), \\ E(t, x) = E_0(x) + \varepsilon F(t, x) + O(\varepsilon^2). \end{cases}$$

- One gets the 1D-1V linearized Vlasov-Poisson equation

$$\begin{cases} \partial_t u + v \partial_x u - E_0(x) \partial_v u + F(u) v \sqrt{f_0(x, v)} = 0, \\ F(u) = -(\partial_x)^{-1} \int_{\mathbb{R}} u \sqrt{f_0(x, v)} dv. \end{cases}$$

Field-particles coupling is provided by the operator

$$u \mapsto -F(u) v \sqrt{f_0}$$

which has **no specific structure** (neither symmetric nor anti-symmetric).

# Quadratic energy estimates

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

For convenience set  $M(x, v) = \sqrt{f_0(x, v)} = e^{-\frac{v^2}{4} + \frac{\varphi_0(x)}{2}}$  and reformulate as the **Vlasov-Ampère equations**

$$\begin{cases} \partial_t u + v \partial_x u - E_0 \partial_v u &= -vMF, & t > 0, \\ \partial_t F &= \int_{\mathbb{R}} uvMdv, & t > 0. \end{cases}$$

Now the right-hand side coupling terms is **anti-symmetric**.

One has the energy identity which already shows linear stability

$$\frac{d}{dt} \left( \int \int u^2 dv dx + \int F^2 dx \right) = 0$$

To be rigorous, define  $U = (u, F) \in X := L^2(\mathbb{T} \times \mathbb{R}) \times L_0^2(\mathbb{T})$ . One has

$$U'(t) = iHU(t), \quad H^* = H,$$

where  $H = H_0 + K$  (perturbation  $K$  **compact in  $v$ , but not compact in  $x$** )

$$iH_0 = \left( \begin{array}{c|c} -v\partial_x + E_0\partial_v & 0 \\ \hline 0 & 0 \end{array} \right) \text{ and } iK = \left( \begin{array}{c|c} 0 & -vM \\ \hline 1^* \int_v vM & 0 \end{array} \right)$$

The Gauss law is propagated by the dynamics

$$U(0) \in \left\{ (u, F) \in X \mid \int_{\mathbb{R}} uMdv + \partial_x F = 0 \right\} \subset \ker H.$$

# Classical scattering theory

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

- 
- Reed-Simon Methods of modern mathematical physics : III Scattering theory, 79'.
  - Kato Perturbation Theory for Linear Operators, 80'.
  - Lax, Functional analysis, 2002.
- 

Take  $X$  Hilbert space, and two closed self-adjoint operators  $H_0^* = H_0$  and  $K^* = K$

$$U'(t) = iHU(t) \text{ with } H = H_0 + K.$$

Typically  $H_0$  is unbounded but "simple" and  $K$  is "small".

- Goal : for  $t \rightarrow \pm\infty$ , compare  $U'(t) = e^{iHt} U_0$  with  $\tilde{U}'(t) = e^{iH_0 t} \tilde{U}_0$ .

Some possible tools

- explicit calculation of the spectrum.
- Møller operators and trace class perturbation.
- the Lipmann-Schwinger equation.

# Vlasov-Poisson is "almost" trace-class

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

Take  $E_0 := 0$  (the homogeneous case).

$$\begin{cases} \partial_t u + v \partial_x u &= -v e^{-v^2/4} F, & t > 0, \\ \partial_t F &= \int_{\mathbb{R}} u v e^{-v^2/4} dv, & t > 0. \end{cases}$$

## Lemma

Take  $n \in \mathbb{N}^*$  and  $z = i\beta$  with  $\beta \in \mathbb{R}^*$  :

the operator  $T = (H - z)^{-n} - (H_0 - z)^{-n}$  is not trace class.

The Fourier decomposition is  $T = \bigoplus_{k \in \mathbb{Z}} e^{ikx} T_k$  with  $T_k$  defined by

$$H_0^k = \left( \begin{array}{c|c} vk & 0 \\ \hline 0 & 0 \end{array} \right) \text{ and } H^k = \left( \begin{array}{c|c} vk & -ive^{-\frac{v^2}{4}} \\ \hline i \int v e^{-\frac{v^2}{4}} \cdot dv & 0 \end{array} \right)$$

The difference is finite rank, so  $T_k$  is trace-class individually.

Let  $u_k = \left( ie^{-\frac{v^2}{4}}, k \right)$  be the zero eigenvector  $H_k u_k = 0$

$$\lim_{|k| \rightarrow \infty} \left( k^2 |z|^{2n} \frac{\|T^k u_k\|^2}{\|u_k\|^2} \right) = \sqrt{2\pi} \implies \|T\|_1 \gtrsim \frac{(2\pi)^{\frac{1}{4}}}{|z|^n} \sum_{k \neq 0} \frac{1}{|k|} = +\infty.$$

Then the full operator  $T$  is "almost" trace-class.



# Morrison integral operator = generalized Moller wave operator

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

Here  $E_0 = \varphi_0 = 0$  and  $u$  satisfies  $\partial_t u + v \partial_x u + v M E = 0$  with the Gauss law.

Consider the integro-differential operator  $L$  (rewriting of Morrison  $\mathcal{G}$  transform)

$$Lu = (-\partial_{xx} + q(v)) u(x, v) - v M(v) P.V. \int_{\mathbb{R}} \frac{1}{w - v} u(x, w) M(w) dw$$

where the function  $q$  is defined by

$$q(v) = P.V. \int_{\mathbb{R}} \frac{w}{w - v} M(w)^2 dw.$$

Then  $h = Lu$  is a solution of free transport  $\partial_t h + v \partial_x h = 0$ .

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- Morrison, Hamiltonian Description of Vlasov Dynamics : Action-Angle Variables for the Continuous Spectrum, 2000
  - Després, Symmetrization of Vlasov-Poisson equations, 2014.
- 

In other words  $u(t) = L^{-1} e^{-v \partial_x t} L u_0$ , that is

$$e^{iHt} = L^{-1} e^{iH_0 t} L, \quad iH_0 = -v \partial_x.$$

Intertwining property  $LH = H_0 L$  : **generalized wave operators, Yafaev 01**

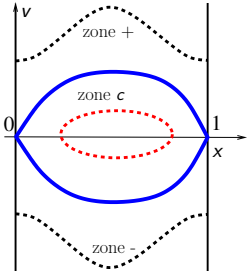
From models to scattering

Focus on Vasov-Poisson 1D-1V non homogeneous.

Other problems

$$\begin{cases} \partial_t u + v \partial_x u - E_0 \partial_v u = -vMF, & t > 0, (x, v) \in I \times \mathbb{R}, \\ \partial_t F = \int_{\mathbb{R}} uvMdv, & t > 0, x \in I, \end{cases}$$

with  $E_0(x) = -\varphi_0'(x)$  and  $\varphi_0$  regular with any number of bumps.



Characteristic lines  $\frac{1}{2}v^2 + \varphi_0(x) = cst$  for a one bump electric field.

# Technical tool : hiding the electric field

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

- Remind  $M(x, v) = \sqrt{f_0(x, v)} = e^{-\frac{v^2}{4} + \frac{\varphi_0(x)}{2}}$ . Make the change of unknown

$$w(x, v, t) = u(x, v, t) + \gamma(x)M(x, v)F(x, t),$$

where the weight  $\gamma(x)$  is such that the total energy is preserved

$$\int_{\mathbb{T} \times \mathbb{R}} w^2 dv dx = \int_{\mathbb{T} \times \mathbb{R}} u^2 dv dx + \int_{\mathbb{T}} F^2.$$

- It holds for  $\gamma$  solution of the Riccati equation

$$\gamma'(x) + \alpha^2 \gamma(x)^2 e^{\varphi_0(x)} = 1, \quad \alpha = (2\pi)^{\frac{1}{4}}, \quad x \in \mathbb{T} = [0, 1]_{\text{per.}}$$

Indeed

$$\begin{aligned} \|w\|_{xv}^2 &= \|u\|_{xv}^2 + 2 \int_{\mathbb{T} \times \mathbb{R}} u \gamma M F + \int_{\mathbb{T} \times \mathbb{R}} \gamma^2 M^2 F^2 \\ &= \|u\|_{xv}^2 - 2 \int_{\mathbb{T}} \gamma F \partial_x F + \int_{\mathbb{T}} \alpha^2 \gamma^2 e^{\varphi_0(x)} F^2 \\ &= \|u\|_{xv}^2 + \int_{\mathbb{T}} (\gamma' + \alpha^2 \gamma^2 e^{\varphi_0(x)}) F^2 \end{aligned}$$

- Then  $w$  satisfies an autonomous equation **without Gauss, Poisson or Ampère**

$$\partial_t w = i\mathcal{H}w, \quad \mathcal{H} = i\mathcal{H}_0 + i\mathcal{K}, \quad i\mathcal{K} = i\mathcal{K}_1 + i\mathcal{K}_2,$$

where  $i\mathcal{H}_0 = -(\nu\partial_x w - E_0(x)\partial_\nu)$  is the free transport operator,

$$i\mathcal{K}_1 w = \gamma \left( \nu M \int_{\mathbb{R}} w M d\nu - M \int_{\mathbb{R}} w \nu M d\nu \right)$$

and

$$i\mathcal{K}_2 w = \gamma M \int_{\mathbb{T} \times \mathbb{R}} w \nu M d\nu - \left( \int_{\mathbb{T} \times \mathbb{R}} w \gamma M \right) M \nu.$$

- By construction  $\mathcal{H}_0$ ,  $\mathcal{K}_1$  and  $\mathcal{K}_2$  are self-adjoint in  $L^2(\mathbb{T} \times \mathbb{R})$ .
- $\mathcal{K}_1$  **regularizes in  $\nu$ , not in  $x$** .
- $\mathcal{K}_2$  is finite rank.
- One has  $\text{Ker}(\mathcal{K}) = \text{Span} \{a_n(x)\psi_n(\nu)\}_{n \geq 2}$ ,  $a_n \in L^2(\mathbb{T})$  where  $(\psi_n)_{n \in \mathbb{N}}$  be the orthonormal  $\int_{\mathbb{R}} \psi_n(\nu)\psi_m(\nu)d\nu = \delta_{nm}$  complete family of Hermite functions

$$\psi_0(\nu) = \exp(-\nu^2/4)/\alpha, \quad \psi_1(\nu) = \nu \exp(-\nu^2/4)/\alpha, \quad \psi_2(\nu) = \dots$$

- It is sufficient to show that  $\mathcal{T}$  is trace class

$$\mathcal{T} = (\mathcal{H}_0 - z)^{-1} \mathcal{K}_1 (\mathcal{H}_0 - z)^{-1}$$

One has  $\mathcal{T}^*\mathcal{T} = (\mathcal{H}_0 - \bar{z})^{-1}\mathcal{K}_1(\mathcal{H}_0^2 + |z|^2)^{-1}\mathcal{K}_1(\mathcal{H}_0 - z)^{-1}$ , where  $z = i\beta \in i\mathbb{R}^*$ .

## Lemma

One has  $\text{Ker}(\mathcal{T}^*\mathcal{T}) = \text{Span}_{n \geq 2} \{(\mathcal{H}_0 - z)a_n\psi_n, a_n \in H^1(\mathbb{T})\}$ .

## Lemma

Let  $\lambda \in \mathbb{R}^*$ . The equation  $(\mathcal{T}^*\mathcal{T})w = \lambda w$  for  $w \neq 0$  is equivalent to two decoupled integral equations

$$\begin{pmatrix} \gamma e^{\varphi_0} \mathcal{T}_2 \gamma e^{\varphi_0} \mathcal{T}_1 a \\ \gamma e^{\varphi_0} \mathcal{T}_1 \gamma e^{\varphi_0} \mathcal{T}_2 b \end{pmatrix} = \lambda \begin{pmatrix} a \\ b \end{pmatrix}, \quad (a, b) \neq (0, 0), \quad (1)$$

where  $\mathcal{T}_1, \mathcal{T}_2 : L^2(\mathbb{T}) \rightarrow L^2(\mathbb{T})$  are integral operators

$$\begin{cases} \mathcal{T}_1 a(x) = \alpha^2 \int_{\mathbb{R}} \psi_0(v) [(\mathcal{H}_0^2 + |z|^2)^{-1}(a\psi_0)](x, v) dv, \\ \mathcal{T}_2 b(x) = \alpha^2 \int_{\mathbb{R}} \psi_1(v) [(\mathcal{H}_0^2 + |z|^2)^{-1}(b\psi_1)](x, v) dv. \end{cases} \quad (2)$$

Moreover  $\mathcal{T}_1$  and  $\mathcal{T}_2$  are self adjoint, bounded, positive and injective.

**Hint :** take  $w = (\mathcal{H}_0 - \bar{z})^{-1} (a(x)\psi_0(v) + b(x)\psi_1(v)) \in \text{Ker}(\mathcal{T}^*\mathcal{T})^\perp$ .

## Lemma

Assume  $E_0 \in W^{s+1, \infty}(\mathbb{T})$  for  $s \geq 0$ . Then there exists  $C_s > 0$  such that  $\|\mathcal{T}_2 b\|_{H^{s+2}(\mathbb{T})} \leq C_s \|b\|_{H^s(\mathbb{T})}$ .

**Hint of the proof :** with over-simplification, take  $E_0 = 0$  (and  $z = i$ ). Then

$$\begin{aligned} \mathcal{T}_2 b(x) &= C \int_{\mathbb{R}} v e^{-\frac{v^2}{4}} \left[ (-v^2 \partial_{xx} + 1)^{-1} v e^{-\frac{v^2}{4}} b \right] (x, v) dv \\ &\approx \tilde{\mathcal{T}}_2 b(x) = C \int_{\mathbb{R}} v^2 \left[ (-v^2 \partial_{xx} + 1)^{-1} e^{-\frac{v^2}{2}} b \right] (x, v) dv \end{aligned}$$

Clearly

$$\partial_{xx} \tilde{\mathcal{T}}_2 b(x) = C \int_{\mathbb{R}} \left[ (v^2 \partial_{xx}) (-v^2 \partial_{xx} + 1)^{-1} \right] e^{-\frac{v^2}{2}} b(x, v) dv$$

so  $\|\tilde{\mathcal{T}}_2 b\|_{H^{s+2}(\mathbb{T})} \leq C_s \|b\|_{H^s(\mathbb{T})}$ .

One checks it is the same for operator  $\mathcal{T}_2$ .

## Lemma

Under the same assumptions, there exists a constant  $C_s > 0$  such that

$$\|\mathcal{T}_1 a\|_{H^{s+\frac{1}{4}}(\mathbb{T})} \leq C_s \|a\|_{H^s(\mathbb{T})}.$$

**Hint of the proof :** same over-simplification ( $E_0 = 0$ ) and  $z = i$ . Then

$$\begin{aligned} \mathcal{T}_1 a(x) &= C \int_{\mathbb{R}} e^{-\frac{v^2}{4}} \left[ (-v^2 \partial_{xx} + 1)^{-1} e^{-\frac{v^2}{4}} b \right] (x, v) dv \\ &= C \int_{\mathbb{R}} e^{-\frac{v^2}{4}} (v \partial_x + 1)^{-1} \underbrace{\left[ (-v \partial_x + 1)^{-1} e^{-\frac{v^2}{4}} b \right]}_{=g} (x, v) dv \end{aligned}$$

Extra-regularity comes from **compactness by integration**.  
(Golse-Sentis-Perthame-Lions).

Take  $g = (-v \partial_x + 1)^{-1} e^{-\frac{v^2}{4}} b \in L^2(\mathbb{T} \times \mathbb{R})$ ,  
and  $f = (v \partial_x + 1)^{-1} g \in L^2(\mathbb{T} \times \mathbb{R})$ . So

$$v \partial_x f = g - f \in L^2(\mathbb{T} \times \mathbb{R}).$$

One gets

$$\int_{\mathbb{R}} e^{-\frac{v^2}{4}} f(x, v) dx dv \in H^{\frac{1}{2}}(\mathbb{T}).$$

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

The general case is  $g = (-v\partial_x + E_0(x)\partial_v + 1)^{-1} b \in L^2(\mathbb{T} \times \mathbb{R})$ ,  
and  $f = (v\partial_x - E_0(x)\partial_v + 1)^{-1} g \in L^2(\mathbb{T} \times \mathbb{R})$ .

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-Thanks to F. Golse.

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So

$$v\partial_x f = g - f + \partial_v(E_0(x)f) \in L^2(\mathbb{T}; H^{-1}(\mathbb{R})).$$

With  $m = 1$ , one gets

$$\int_{\mathbb{R}} e^{-\frac{v^2}{4}} f(x, v) dx dv \in H^{\frac{1}{4}}(\mathbb{T}).$$

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- Diperna and Lions, Global weak solutions of Vlasov-Maxwell systems, 1989.

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Then the proof of the trace class property goes on.



From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

## Theorem (Jour. Scat. Theory 2020, D.)

Assume the electric potential is smooth  $\varphi_0 \in W^{4+\varepsilon, \infty}(\mathbb{T})$  with any number of bumps. Then the wave operators  $\mathcal{W}_{\pm}(\mathcal{H}, \mathcal{H}_0)$  exist and are complete. In particular one has the orthogonal decompositions between spaces associated to absolute continuous, singular continuous and discrete parts of the spectrum

$$L^2(\mathbb{T} \times \mathbb{R}) = \mathcal{X}_0^{\text{ac}} \oplus \mathcal{X}_0^{\text{sc}} \oplus \mathcal{X}_0^{\text{pp}} = \mathcal{X}^{\text{ac}} \oplus \mathcal{X}^{\text{sc}} \oplus \mathcal{X}^{\text{pp}} \quad (3)$$

and  $\mathcal{X}^{\text{ac}}$  is in isometric bijection with  $\mathcal{X}_0^{\text{ac}}$ .

From models to scattering

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Other problems

Hyp. 1 : The time needed for particles to travel along characteristics is a monotone function of the characteristic label :  $\frac{d^2}{dx^2} \sqrt{\varphi_0^+ - \varphi_0(x)} < 0$

Hyp 2 : The initial data has zero mean value along the characteristics curves of the transport operator  $v\partial_x - \varepsilon^2 E_0 \partial_v$  (same as Faou-et-al 2021).

## Theorem (Ann. IHP 2019, D.)

Rescale  $\varphi_0^\varepsilon(x) = \varepsilon \varphi_0(x)$  which has just one bump. For  $0 < \varepsilon < \varepsilon_*$ , then  $\lim_{t \rightarrow \infty} \|F(t)\|_{L^\infty(I)} = 0$ .

# Vlasov-Poisson-Ampère nD

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

Now  $\mathbf{x} \in \mathbb{R}^d$  with  $d > 1$ .

Start from

$$\begin{cases} \partial_t f + \mathbf{v} \cdot \nabla_{\mathbf{x}} f - \mathbf{E}(t, \mathbf{x}) \cdot \nabla_{\mathbf{v}} f = 0, & t > 0, \\ \partial_t \mathbf{E} = \nabla \Delta^{-1} \nabla \cdot \int f \mathbf{v} d\mathbf{v}, & t > 0, \end{cases}$$

with Gauss law/Poisson at initial time

$$\begin{cases} -\Delta \varphi = \rho_{\text{ions}}(\mathbf{x}) - \int f d\mathbf{v}, & t = 0 \\ \mathbf{E}(t, \mathbf{x}) = -\nabla_{\mathbf{x}} \varphi(t, \mathbf{x}), & t = 0. \end{cases}$$

Linearize

$$\begin{cases} f_0(\mathbf{x}, \mathbf{v}) = e^{-\frac{|\mathbf{v}|^2}{2} + \varphi_0(\mathbf{x})}, \\ f(t, \mathbf{x}, \mathbf{v}) = f_0(\mathbf{x}, \mathbf{v}) + \varepsilon \sqrt{f_0(\mathbf{x}, \mathbf{v})} u(t, \mathbf{x}, \mathbf{v}) + O(\varepsilon^2), \\ E(t, \mathbf{x}) = E_0(\mathbf{x}) + \varepsilon F(t, \mathbf{x}) + O(\varepsilon^2). \end{cases}$$

It yields

$$\begin{cases} \partial_t u + \mathbf{v} \cdot \nabla_{\mathbf{x}} u - \mathbf{E}_0 \cdot \nabla_{\mathbf{v}} u + \mathbf{F} \cdot \mathbf{v} \sqrt{f_0} = 0, & t > 0, \\ \partial_t \mathbf{F} = \nabla \Delta^{-1} \nabla \cdot \int u \mathbf{v} \sqrt{f_0} d\mathbf{v}, & t > 0. \end{cases}$$

Set  $w = u + i\sqrt{f_0}L\varphi$  where

$$L = (-\Delta)^{-\frac{1}{2}} \left[ (-\Delta)^{-\frac{1}{2}} \alpha_0 (-\Delta)^{-\frac{1}{2}} \right]^{-\frac{1}{2}} (-\Delta)^{\frac{1}{2}}.$$

where the weight is  $\alpha_0(\mathbf{x}) = \int_{\mathbb{R}^d} f_0(\mathbf{x}, \mathbf{v}) d\mathbf{v} = (2\pi)^{\frac{d}{2}} n_0(\mathbf{x}) > 0$ .

## Lemma

One has

$$\|w\|_{L^2(\mathbb{R}^d \times \mathbb{R}^d)}^2 = \|u\|_{L^2(\mathbb{R}^d \times \mathbb{R}^d)}^2 + \|F\|_{L^2(\mathbb{R}^d)}^2$$

with the autonomous equation

$$\partial_t w + \mathbf{v} \cdot \nabla_{\mathbf{x}} w - \mathbf{E}_0 \cdot \nabla_{\mathbf{v}} w - iAw = 0$$

where the symmetric operator  $A$  is defined by

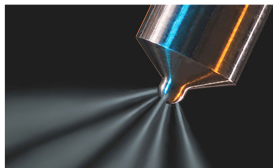
$$Aw = \sqrt{f_0} L (-\Delta)^{-1} \nabla \cdot \int \sqrt{f_0} \mathbf{v} w d\mathbf{v} - \sqrt{f_0} \mathbf{v} \cdot \nabla L (-\Delta)^{-1} \int \sqrt{f_0} w d\mathbf{v}.$$

From models to scattering

Focus on  
Vasov-Poisson  
1D-1V non  
homogeneous.

Other problems

Thin sprays :



(a) Diesel engine fuel injector



(b) Medical spray

Thick sprays :

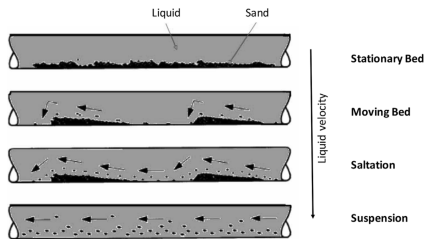
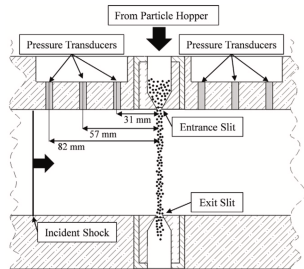


Fig. 1. Sand flow regime in horizontal pipelines.

(c) Leporini et al (2019)



(d) Daniel-Wagner (2022)

# Barotropic fluid+particules model à la Desvillettes

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

With C. Buet (CEA) and V. Fournet (PHD CEA)

$$\left\{ \begin{array}{l} \partial_t(\alpha\rho) + \nabla \cdot (\alpha\rho\mathbf{u}) = 0, \\ \partial_t(\alpha\rho\mathbf{u}) + \nabla \cdot (\alpha\rho\mathbf{u} \otimes \mathbf{u}) + \nabla p = -m_* \int \Gamma f dv, \\ \alpha = 1 - m_* \int f dv, \\ m_* \Gamma = -m_* \nabla p - D_*(\mathbf{v} - \mathbf{u}), \\ \partial_t f + \mathbf{v} \cdot \nabla_x f + \nabla_v \cdot (\Gamma f) = 0. \end{array} \right.$$

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Fournet-Buet-D. : Analog of Linear Landau Damping in a coupled Vlasov-Euler system for thick sprays  
<https://cnrs.hal.science/LJLL/hal-04265990v1>.

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

Linearize around  $f_0(v) = e^{-v^2/2}$  (other profiles are possible) with  
 $D_* = 0$ ,  $m_* = 1$ ,  $\mathbf{u}_0 = 0$ ,  $\alpha_0 = cst$ , ...

$$\begin{cases} \partial_t \tau_1 = \nabla \cdot \mathbf{u}_1 + \nabla \cdot \int \sqrt{f_0} g_1 \mathbf{v} dv, \\ \partial_t \mathbf{u}_1 = \nabla \tau_1, \\ \partial_t g_1 = -\mathbf{v} \cdot \nabla_x g_1 + \sqrt{f_0} \mathbf{v} \cdot \nabla \tau_1. \end{cases}$$

In 1D for Fourier mode  $k$ , it is rewritten as

$$U'(t) = iHU(t) \text{ with } H = k \begin{pmatrix} 0 & 1 & \int e^{-\frac{v^2}{4}} \cdot v dv \\ 1 & 0 & 0 \\ e^{-\frac{v^2}{4}} v & 0 & -v \end{pmatrix}.$$

**Prop :** For  $k \neq 0$ ,  $X^{ac} = X$ .

So the acoustic energy tends to zero :  $\tau_1(t)^2 + u_1(t)^2 \rightarrow 0$ .

# An interesting collective phenomenon

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

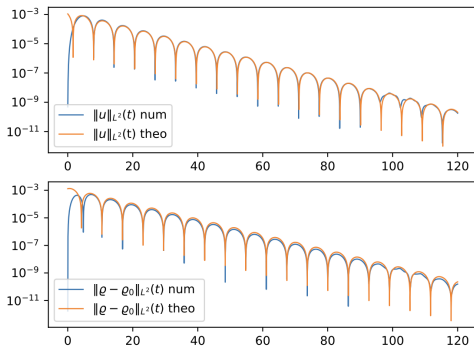
Other problems

Linearize the equations around a solution at rest (nothing moves) with a Gaussian profile  $f_0(v) = e^{-v^2/2}$  for the particles

Initial conditions:

$$\varrho_0 = 1, \quad u_0 = 0, \quad f_0(x, v) = (1 + \varepsilon \cos(kx))e^{-v^2/2}, \quad \varepsilon = 10^{-3}$$

$$\text{Orange curve} \propto e^{\Im m(\omega)t} \cos(\Re e(\omega)t), \quad \omega(k) \text{ solution of } \frac{k^2}{\omega^2} + \int \frac{f_0'(v)}{v-\omega/k} = 1$$





# Specialists immediately notice the similarity with Linear Landau Damping in plasma physics

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

- First predicted by Landau[46'] for the linearized Vlasov-Poisson system

$$\begin{cases} \partial_t f + \mathbf{v} \cdot \nabla_x f - \mathbf{E} \cdot \nabla_v f_0 = 0, \\ \nabla_x \cdot \mathbf{E} = -\int f \, dv \end{cases}$$

around maxwellian equilibrium

$$f_0(v) = e^{-v^2/2}$$

- Landau showed the damping of the electric field

$$\|\mathbf{E}(t)\| = \mathcal{O}\left(e^{\text{Im}(\omega)t} \cos(\text{Re}(\omega)t)\right),$$

with  $\omega(k) \in \mathbb{C}$  verifies a dispersion relation

$$\int_{\mathbb{R}} \frac{\partial_v f_0(v)}{v - \omega/k} \, dv = k^2.$$

- To show this, take the ansatz  $f(t, x, v) = \alpha(v)e^{-i\omega t} e^{ikx}$ ,  $E(t, x) = \beta e^{-i\omega t} e^{ikx}$ .

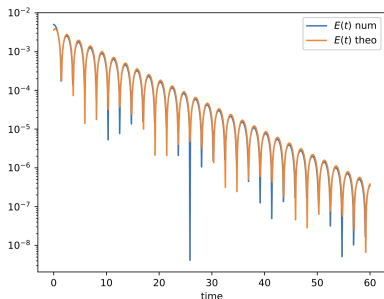


Figure 1: Landau damping for (nonlinear) Vlasov-Poisson

From models to scattering

Focus on Vlasov-Poisson 1D-1V non homogeneous.

Other problems

Set all non important coefficients to 1 and annulate the friction  $D_* = 0$ .

The kinetic equation in Thick Sprays writes

$$\partial_t f + v \partial_x f + \Gamma \partial_v f = 0, \quad \Gamma = -\partial_x p.$$

The Vlasov-Poisson equation in plasma physics writes

$$\partial_t f + v \partial_x f + E \partial_v f = 0, \quad E = -\partial_x \phi.$$

This similarity is the key ingredient.

It explains why the mathematical developments for the derivation of the dispersion relation follow the same route.

From models to scattering

Focus on  
Vlasov-Poisson  
1D-1V non  
homogeneous.

Other problems

- Kinetic equations coupled with macroscopic equations (Poisson-Ampère-Euler-...) in a non dissipative manner can be analyzed with classical Scattering Theory.
  - It offers a simple explanation of Linear Landau Damping around homogeneous profiles which can now be seen as an exercise in Scattering Theory.
  - It offers possibilities to explore Linear Landau Damping around non homogeneous profiles.
  - There is an extension to magnetized Vlasov-Poisson-Ampère equations (Weder+Charles+Rege+D.).
- The non linear case (Mouhot-Villani-...) seems difficult to analyze within classical Scattering Theory.
- Same tools can be used for Thick Sprays (neutral particles + Euler equations).